RETIREMENT ARCHITECT
[Date & Time]

- **PARTICIPANTS**
  - CLIENTS
    - Client
    - Client
  - ADVISORS
    - Greg Phelps, CERTIFIED FINANCIAL PLANNER
    - Estate Planner
    - Tax Planner
- **OBJECTIVES**
  - Review Current Financial Situation
  - Identify Planning Weak-nesses & Opportunities
  - Review Planning Scenarios
  - Agree on an Appropriate Financial Plan Strategy
  - Plan Implementation & Ongoing Management
  - Plan Monitoring & Semi-Annual Review
- **FEES**
  - PLANNING FEES
    - $500 Meeting #1, Data Collection
    - $500 Meeting #2, Plan Review
  - INVESTMENT FEES
    - FEE-ONLY, NO COMMISSIONS!
    - Asset Based on Tiered Schedule
- **MEETING #1: Initial Consultation to determine if the Retirement Architect (or facets thereof) is right for your situation and how Red Rock Wealth Management may or may not meet your financial planning and investment needs.**
- **MEETING #2: Data Collection**
  - Current Balance Sheet
    - Assets
      - Properties Owned
      - Taxable Investment Assets
      - Tax-Deferred Investment Assets
      - Bank Held/Liquid Assets
      - Other Assets
    - Liabilities
      - Mortgage(s) Information
      - Non-Deductible Debt Information
      - Other Debt
  - Budget Estimate (Income/Liabilities)
  - Risk Management
    - Current Life Insurance Information
  - Trust/Estate
    - Copy of Will
    - Copy of Trust Document
  - Tax
    - Copy of Prior Year's Tax Return
  - Financial Goals & Objectives. What is it that takes both money and planning to achieve for your future?
  - Account Statements
    - 401k(s)
    - IRA(s)
    - Individual/Joint/Trust Investment Accounts
    - Bank Accounts
    - 529 & UTMA/UGMA Accounts
    - Other Pension/Deferred Accounts
- **MEETING #3: Plan Review, Analysis, & Portfolio Transfer (1 to 2 weeks)**
  - Review Planning Scenarios
  - Map Financial Strategy
  - Complete Account Transfer Paperwork
- **MEETING #4: Final Review & Online Access Introduction (2 to 4 weeks)**
  - Review New Investment Portfolio
  - Review Strategy Implementation
- **FORMAL REVIEWS SCHEDULED: Semi-Annually**