



PRIVACY STATEMENT

Protecting your privacy is fundamental to the way Red Rock Private Wealth Consulting, LLC conducts business. Although new privacy regulations require financial related institutions to inform you of their practices regarding the collection and use of your personal information, keeping client information confidential is nothing new to Red Rock Private Wealth Consulting, LLC. We have always known that privacy is important to you, and we are committed to safeguarding your personal information. This document explains the types of information we collect from you, and how we may use or disclose that information.

We may collect personal information about you from the following sources: (1) information we receive from your transactions and applications with us and our affiliates, such as information we use to prepare your financial plan and Investment Policy Statement; and (2) information you or your agent provides to us in connection with other services you have requested, such as insurance or investment information.

With your prior written consent, we may use any information we obtain in order to prepare your financial plan and disclose it to Red Rock Private Wealth Consulting, LLC affiliates and third parties so that you can receive selective information regarding any of the services offered by Red Rock Private Wealth Consulting, LLC affiliates or such third parties. Your financial plan information will not be used for other purposes, except to provide you with additional financial planning related information and services, or except as otherwise required or permitted by law.

We may disclose any information we collect, as described above, to service providers to perform a service for us or perform a function on our behalf. In cases where we use service providers, we restrict such service providers from using your nonpublic personal information except to act on our behalf or as required or permitted by law.

Your personal information will not be sold or rented to third party direct marketers. We may make other disclosures of any information we collect, as required or permitted by law. Such disclosures may include, without limitation, disclosures such as those necessary to perform services you have requested, to permit auditing of account information, to comply with a subpoena or other court or government agency order, or those made in the event of a sale or transfer of our business or assets. We treat information about our former customers in the same manner as information of existing customers.

The use of, and access to, your personal information by Red Rock Private Wealth Consulting, LLC is restricted to those employees who need to know that information to provide services to you. We maintain physical, electronic and procedural safeguards to limit access to your nonpublic personal information.

Red Rock Private Wealth Consulting, LLC appreciates your business. If you have questions or comments about this Privacy Statement, please call (702) 987-1607.